



GENERATING FUNCTIONS AND RECURRENCE RELATIONS

Recurrence Relations

Suppose $a_0, a_1, a_2, \dots, a_n, \dots$ is an infinite sequence.
A recurrence relation is a set of equations

$$a_n = f_n(a_{n-1}, a_{n-2}, \dots, a_{n-k}). \quad (1)$$

The whole sequence is determined by (1) and the values of a_0, a_1, \dots, a_{k-1} .

Linear Recurrence

Fibonacci Sequence

$$a_n = a_{n-1} + a_{n-2} \quad n \geq 2.$$

$$a_0 = a_1 = 1.$$

$b_n = |B_n| = |\{x \in \{a, b, c\}^n : aa \text{ does not occur in } x\}|.$

$b_1 = 3 : a b c$

$b_2 = 8 : ab ac ba bb bc ca cb cc$

$b_n = 2b_{n-1} + 2b_{n-2} \quad n \geq 2.$

$$b_n = 2b_{n-1} + 2b_{n-2} \quad n \geq 2.$$

Let

$$B_n = B_n^{(b)} \cup B_n^{(c)} \cup B_n^{(a)}$$

where $B_n^{(\alpha)} = \{x \in B_n : x_1 = \alpha\}$ for $\alpha = a, b, c$.

Now $|B_n^{(b)}| = |B_n^{(c)}| = |B_{n-1}|$. The map $f : B_n^{(b)} \rightarrow B_{n-1}$,

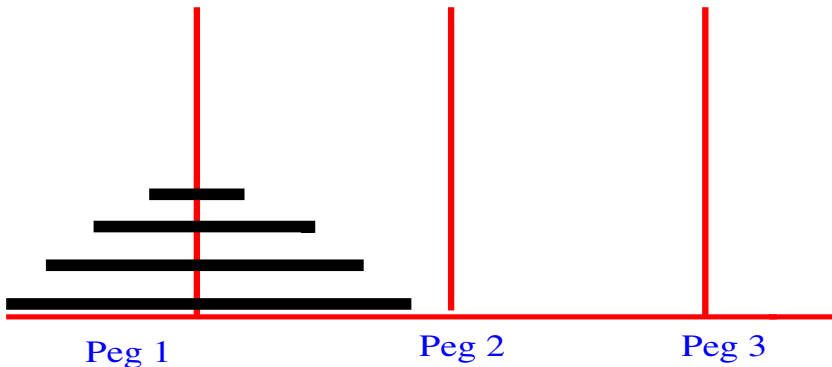
$$f(bx_2x_3 \dots x_n) = x_2x_3 \dots x_n \text{ is a bijection.}$$

$B_n^{(a)} = \{x \in B_n : x_1 = a \text{ and } x_2 = b \text{ or } c\}$. The map $g : B_n^{(a)} \rightarrow B_{n-1}^{(b)} \cup B_{n-1}^{(c)}$,

$$g(ax_2x_3 \dots x_n) = x_2x_3 \dots x_n \text{ is a bijection.}$$

Hence, $|B_n^{(a)}| = 2|B_{n-1}|$.

Towers of Hanoi



H_n is the minimum number of moves needed to shift n rings from Peg 1 to Peg 2. One is not allowed to place a larger ring on top of a smaller ring.

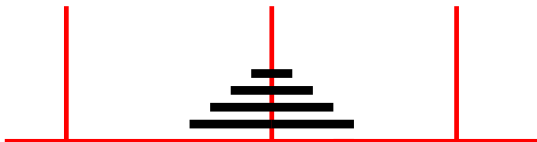
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H_{n-1} moves



1 move



H_{n-1} moves

A has n dollars. Everyday A buys one of a Bun (1 dollar), an Ice-Cream (2 dollars) or a Pastry (2 dollars). How many ways are there (sequences) for A to spend his money?
Ex. **BBPIIPBI** represents “Day 1, buy Bun. Day 2, buy Bun etc.”.

$$\begin{aligned}u_n &= \text{number of ways} \\ &= u_{n,B} + u_{n,I} + u_{n,P}\end{aligned}$$

where $u_{n,B}$ is the number of ways where A buys a Bun on day 1 etc.

$$u_{n,B} = u_{n-1}, \quad u_{n,I} = u_{n,P} = u_{n-2}.$$

So

$$u_n = u_{n-1} + 2u_{n-2},$$

and

$$u_0 = u_1 = 1.$$

If a_0, a_1, \dots, a_n is a sequence of real numbers then its **(ordinary) generating function** $a(x)$ is given by

$$a(x) = a_0 + a_1x + a_2x^2 + \cdots a_nx^n + \cdots$$

and we write

$$a_n = [x^n]a(x).$$

For more on this subject see **Generatingfunctionology** by the late Herbert S. Wilf. The book is available from <https://www.math.upenn.edu//wilf/DownldGF.html>

$$a_n = 1$$

$$a(x) = \frac{1}{1-x} = 1 + x + x^2 + \cdots + x^n + \cdots$$

$$a_n = n + 1.$$

$$a(x) = \frac{1}{(1-x)^2} = 1 + 2x + 3x^2 + \cdots + (n+1)x^n + \cdots$$

$$a_n = n.$$

$$a(x) = \frac{x}{(1-x)^2} = x + 2x^2 + 3x^3 + \cdots + nx^n + \cdots$$

Generalised binomial theorem:

$$a_n = \binom{\alpha}{n}$$

$$a(x) = (1+x)^\alpha = \sum_{n=0}^{\infty} \binom{\alpha}{n} x^n.$$

where

$$\binom{\alpha}{n} = \frac{\alpha(\alpha-1)(\alpha-2)\cdots(\alpha-n+1)}{n!}.$$

$$a_n = \binom{m+n-1}{n}$$

$$a(x) = \frac{1}{(1-x)^m} = \sum_{n=0}^{\infty} \binom{-m}{n} (-x)^n = \sum_{n=0}^{\infty} \binom{m+n-1}{n} x^n.$$

General view.

Given a recurrence relation for the sequence (a_n) , we

(a) Deduce from it, an equation satisfied by the generating function $a(x) = \sum_n a_n x^n$.

(b) Solve this equation to get an explicit expression for the generating function.

(c) Extract the coefficient a_n of x^n from $a(x)$, by expanding $a(x)$ as a power series.

Solution of linear recurrences

$$a_n - 6a_{n-1} + 9a_{n-2} = 0 \quad n \geq 2.$$

$$a_0 = 1, a_1 = 9.$$

$$\sum_{n=2}^{\infty} (a_n - 6a_{n-1} + 9a_{n-2})x^n = 0. \quad (2)$$

$$\begin{aligned}\sum_{n=2}^{\infty} a_n x^n &= a(x) - a_0 - a_1 x \\ &= a(x) - 1 - 9x.\end{aligned}$$

$$\begin{aligned}\sum_{n=2}^{\infty} 6a_{n-1} x^n &= 6x \sum_{n=2}^{\infty} a_{n-1} x^{n-1} \\ &= 6x(a(x) - a_0) \\ &= 6x(a(x) - 1).\end{aligned}$$

$$\begin{aligned}\sum_{n=2}^{\infty} 9a_{n-2} x^n &= 9x^2 \sum_{n=2}^{\infty} a_{n-2} x^{n-2} \\ &= 9x^2 a(x).\end{aligned}$$

$$a(x) - 1 - 9x - 6x(a(x) - 1) + 9x^2 a(x) = 0$$

or

$$a(x)(1 - 6x + 9x^2) - (1 + 3x) = 0.$$

$$\begin{aligned} a(x) &= \frac{1 + 3x}{1 - 6x + 9x^2} = \frac{1 + 3x}{(1 - 3x)^2} \\ &= \sum_{n=0}^{\infty} (n+1)3^n x^n + 3x \sum_{n=0}^{\infty} (n+1)3^n x^n \\ &= \sum_{n=0}^{\infty} (n+1)3^n x^n + \sum_{n=0}^{\infty} n3^n x^n \\ &= \sum_{n=0}^{\infty} (2n+1)3^n x^n. \end{aligned}$$

$$a_n = (2n+1)3^n.$$

Fibonacci sequence:

$$\sum_{n=2}^{\infty} (a_n - a_{n-1} - a_{n-2})x^n = 0.$$

$$\sum_{n=2}^{\infty} a_n x^n - \sum_{n=2}^{\infty} a_{n-1} x^n - \sum_{n=2}^{\infty} a_{n-2} x^n = 0.$$

$$(a(x) - a_0 - a_1 x) - (x(a(x) - a_0)) - x^2 a(x) = 0.$$

$$a(x) = \frac{1}{1 - x - x^2}.$$

$$\begin{aligned} a(x) &= -\frac{1}{(\xi_1 - x)(\xi_2 - x)} \\ &= \frac{1}{\xi_1 - \xi_2} \left(\frac{1}{\xi_1 - x} - \frac{1}{\xi_2 - x} \right) \\ &= \frac{1}{\xi_1 - \xi_2} \left(\frac{\xi_1^{-1}}{1 - x/\xi_1} - \frac{\xi_2^{-1}}{1 - x/\xi_2} \right) \end{aligned}$$

where

$$\xi_1 = -\frac{\sqrt{5} + 1}{2} \text{ and } \xi_2 = \frac{\sqrt{5} - 1}{2}$$

are the 2 roots of

$$x^2 + x - 1 = 0.$$

Therefore,

$$\begin{aligned}a(x) &= \frac{\xi_1^{-1}}{\xi_1 - \xi_2} \sum_{n=0}^{\infty} \xi_1^{-n} x^n - \frac{\xi_2^{-1}}{\xi_1 - \xi_2} \sum_{n=0}^{\infty} \xi_2^{-n} x^n \\ &= \sum_{n=0}^{\infty} \frac{\xi_1^{-n-1} - \xi_2^{-n-1}}{\xi_1 - \xi_2} x^n\end{aligned}$$

and so

$$\begin{aligned}a_n &= \frac{\xi_1^{-n-1} - \xi_2^{-n-1}}{\xi_1 - \xi_2} \\ &= \frac{1}{\sqrt{5}} \left(\left(\frac{\sqrt{5}+1}{2} \right)^{n+1} - \left(\frac{1-\sqrt{5}}{2} \right)^{n+1} \right).\end{aligned}$$

Inhomogeneous problem

$$a_n - 3a_{n-1} = n^2 \quad n \geq 1.$$

$$a_0 = 1.$$

$$\sum_{n=1}^{\infty} (a_n - 3a_{n-1})x^n = \sum_{n=1}^{\infty} n^2 x^n$$

$$\sum_{n=1}^{\infty} n^2 x^n = \sum_{n=2}^{\infty} n(n-1)x^n + \sum_{n=1}^{\infty} nx^n$$

$$= \frac{2x^2}{(1-x)^3} + \frac{x}{(1-x)^2}$$

$$= \frac{x + x^2}{(1-x)^3}$$

$$\sum_{n=1}^{\infty} (a_n - 3a_{n-1})x^n = a(x) - 1 - 3xa(x)$$

$$= a(x)(1 - 3x) - 1.$$

$$\begin{aligned} a(x) &= \frac{x + x^2}{(1-x)^3(1-3x)} + \frac{1}{1-3x} \\ &= \frac{A}{1-x} + \frac{B}{(1-x)^2} + \frac{C}{(1-x)^3} + \frac{D+1}{1-3x} \end{aligned}$$

where

$$\begin{aligned} x + x^2 &\cong A(1-x)^2(1-3x) + B(1-x)(1-3x) \\ &\quad + C(1-3x) + D(1-x)^3. \end{aligned}$$

Then

$$A = -1/2, B = 0, C = -1, D = 3/2.$$

So

$$\begin{aligned}a(x) &= \frac{-1/2}{1-x} - \frac{1}{(1-x)^3} + \frac{5/2}{1-3x} \\ &= -\frac{1}{2} \sum_{n=0}^{\infty} x^n - \sum_{n=0}^{\infty} \binom{n+2}{2} x^n + \frac{5}{2} \sum_{n=0}^{\infty} 3^n x^n\end{aligned}$$

So

$$\begin{aligned}a_n &= -\frac{1}{2} - \binom{n+2}{2} + \frac{5}{2} 3^n \\ &= -\frac{3}{2} - \frac{3n}{2} - \frac{n^2}{2} + \frac{5}{2} 3^n.\end{aligned}$$

General case of linear recurrence

$$a_n + c_1 a_{n-1} + \cdots + c_k a_{n-k} = u_n, \quad n \geq k.$$

u_0, u_1, \dots, u_{k-1} are given.

$$\sum (a_n + c_1 a_{n-1} + \cdots + c_k a_{n-k} - u_n) x^n = 0$$

It follows that for some polynomial $r(x)$,

$$a(x) = \frac{u(x) + r(x)}{q(x)}$$

where

$$q(x) = 1 + c_1 x + c_2 x^2 + \cdots + c_k x^k = \prod_{i=1}^k (1 - \alpha_i x)$$

and $\alpha_1, \alpha_2, \dots, \alpha_k$ are the roots of $p(x) = 0$ where

$$p(x) = x^k q(1/x) = x^k + c_1 x^{k-1} + \cdots + c_0.$$

Products of generating functions

$$a(x) = \sum_{n=0}^{\infty} a_n x^n, \quad b(x) = \sum_{n=0}^{\infty} b_n x^n.$$

$$\begin{aligned} a(x)b(x) &= (a_0 + a_1x + a_2x^2 + \cdots) \times \\ &\quad (b_0 + b_1x + b_2x^2 + \cdots) \\ &= a_0b_0 + (a_0b_1 + a_1b_0)x + \\ &\quad (a_0b_2 + a_1b_1 + a_2b_0)x^2 + \cdots \\ &= \sum_{n=0}^{\infty} c_n x^n \end{aligned}$$

where

$$c_n = \sum_{k=0}^n a_k b_{n-k}.$$

Derangements

$$n! = \sum_{k=0}^n \binom{n}{k} d_{n-k}.$$

Explanation: $\binom{n}{k} d_{n-k}$ is the number of permutations with exactly k cycles of length 1. Choose k elements ($\binom{n}{k}$ ways) for which $\pi(i) = i$ and then choose a derangement of the remaining $n - k$ elements.

So

$$\begin{aligned} 1 &= \sum_{k=0}^n \frac{1}{k!} \frac{d_{n-k}}{(n-k)!} \\ \sum_{n=0}^{\infty} x^n &= \sum_{n=0}^{\infty} \left(\sum_{k=0}^n \frac{1}{k!} \frac{d_{n-k}}{(n-k)!} \right) x^n. \end{aligned} \tag{3}$$

Let

$$d(x) = \sum_{m=0}^{\infty} \frac{d_m}{m!} x^m.$$

From (3) we have

$$\begin{aligned} \frac{1}{1-x} &= e^x d(x) \\ d(x) &= \frac{e^{-x}}{1-x} \\ &= \sum_{n=0}^{\infty} \sum_{k=0}^n \left(\frac{(-1)^k}{k!} \right) x^n. \end{aligned}$$

So

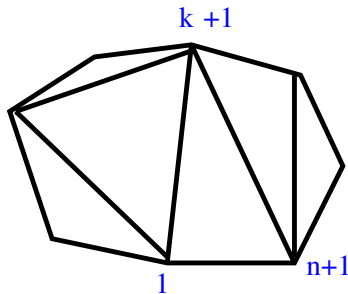
$$\frac{d_n}{n!} = \sum_{k=0}^n \frac{(-1)^k}{k!}.$$

Triangulation of n -gon

Let

$$\begin{aligned} a_n &= \text{number of triangulations of } P_{n+1} \\ &= \sum_{k=0}^n a_k a_{n-k} \quad n \geq 2 \end{aligned} \tag{4}$$

$$a_0 = 0, a_1 = a_2 = 1.$$



Explanation of (4):

$a_k a_{n-k}$ counts the number of triangulations in which edge $1, n+1$ is contained in triangle $1, k+1, n+1$.

There are a_k ways of triangulating $1, 2, \dots, k+1, 1$ and for each such there are a_{n-k} ways of triangulating $k+1, k+2, \dots, n+1, k+1$.

$$x + \sum_{n=2}^{\infty} a_n x^n = x + \sum_{n=2}^{\infty} \left(\sum_{k=0}^n a_k a_{n-k} \right) x^n.$$

But,

$$x + \sum_{n=2}^{\infty} a_n x^n = a(x)$$

since $a_0 = 0, a_1 = 1$.

$$\begin{aligned} \sum_{n=2}^{\infty} \left(\sum_{k=0}^n a_k a_{n-k} \right) x^n &= \sum_{n=0}^{\infty} \left(\sum_{k=0}^n a_k a_{n-k} \right) x^n \\ &= a(x)^2. \end{aligned}$$

So

$$a(x) = x + a(x)^2$$

and hence

$$a(x) = \frac{1 + \sqrt{1 - 4x}}{2} \text{ or } \frac{1 - \sqrt{1 - 4x}}{2}.$$

But $a(0) = 0$ and so

$$\begin{aligned} a(x) &= \frac{1 - \sqrt{1 - 4x}}{2} \\ &= \frac{1}{2} - \frac{1}{2} \left(1 + \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n2^{2n-1}} \binom{2n-2}{n-1} (-4x)^n \right) \\ &= \sum_{n=1}^{\infty} \frac{1}{n} \binom{2n-2}{n-1} x^n. \end{aligned}$$

So

$$a_n = \frac{1}{n} \binom{2n-2}{n-1}.$$

Exponential Generating Functions

Given a sequence $a_n, n \geq 0$, its exponential generating function (e.g.f.) $a_e(x)$ is given by

$$a_e(x) = \sum_{n=0}^{\infty} \frac{a_n}{n!} x^n$$

$$a_n = 1, n \geq 0 \text{ implies } a_e(x) = e^x.$$

$$a_n = n!, n \geq 0 \text{ implies } a_e(x) = \frac{1}{1-x}$$

Products of Exponential Generating Functions

Let $a_e(x)$, $b_e(x)$ be the e.g.f.'s respectively for (a_n) , (b_n) respectively. Then

$$\begin{aligned}c_e(x) = a_e(x)b_e(x) &= \sum_{n=0}^{\infty} \left(\sum_{k=0}^n \frac{a_k}{k!} \frac{b_{n-k}}{(n-k)!} \right) x^n \\ &= \sum_{k=0}^n \frac{c_n}{n!} x^n\end{aligned}$$

where

$$c_n = \binom{n}{k} a_k b_{n-k}.$$

Interpretation

Suppose that we have a collection of labelled objects and each object has a “size” k , where k is a non-negative integer. Each object is labelled by a set of size k .

Suppose that the number of labelled objects of size k is a_k .

Examples:

(a): Each object is a directed path with k vertices and its vertices are labelled by $1, 2, \dots, k$ in some order. Thus $a_k = k!$.

(b): Each object is a directed cycle with k vertices and its vertices are labelled by $1, 2, \dots, k$ in some order. Thus $a_k = (k - 1)!$.

Now take example (a) and let $a_e(x) = \frac{1}{1-x}$ be the e.g.f. of this family. Now consider

$$c_e(x) = a_e(x)^2 = \sum_{n=0}^{\infty} (n+1)x^n \text{ with } c_n = (n+1) \times n!.$$

c_n is the number of ways of choosing an object of weight k and another object of weight $n - k$ and a partition of $[n]$ into two sets A_1, A_2 of size k and labelling the first object with A_1 and the second with A_2 .

Here $(n+1) \times n!$ represents taking a permutation and choosing $0 \leq k \leq n$ and putting the first k labels onto the first path and the second $n - k$ labels onto the second path.

We will now use this machinery to count the number s_n of permutations that have an even number of cycles all of which have odd lengths:

Cycles of a permutation

Let $\pi : D \rightarrow D$ be a permutation of the finite set D . Consider the digraph $\Gamma_\pi = (D, A)$ where $A = \{(i, \pi(i)) : i \in D\}$. Γ_π is a collection of vertex disjoint cycles. Each $x \in D$ being on a unique cycle. Here a cycle can consist of a loop i.e. when $\pi(x) = x$.

Example: $D = [10]$.

i	1	2	3	4	5	6	7	8	9	10
$\pi(i)$	6	2	7	10	3	8	9	1	5	4

The cycles are $(1, 6, 8)$, (2) , $(3, 7, 9, 5)$, $(4, 10)$.

In general consider the sequence $i, \pi(i), \pi^2(i), \dots$.

Since D is finite, there exists a first pair $k < \ell$ such that $\pi^k(i) = \pi^\ell(i)$. Now we must have $k = 0$, since otherwise putting $x = \pi^{k-1}(i) \neq y = \pi^{\ell-1}(i)$ we see that $\pi(x) = \pi(y)$, contradicting the fact that π is a permutation.

So i lies on the cycle $C = (i, \pi(i), \pi^2(i), \dots, \pi^{k-1}(i), i)$.

If j is not a vertex of C then $\pi(j)$ is not on C and so we can repeat the argument to show that the rest of D is partitioned into cycles.

Now consider

$$a_e(x) = \sum_{m=0}^{\infty} \frac{(2m)!}{(2m+1)!} x^{2m+1}$$

Here

$$a_n = \begin{cases} 0 & n \text{ is even} \\ (n-1)! & n \text{ is odd} \end{cases}$$

Thus each object is an odd length cycle C , labelled by $[[C]]$.

Note that

$$\begin{aligned} a_e(x) &= \left(x + \frac{x^2}{2} + \frac{x^3}{3} + \frac{x^4}{4} + \dots \right) - \left(\frac{x^2}{2} + \frac{x^4}{4} + \dots \right) \\ &= \log \left(\frac{1}{1-x} \right) - \frac{1}{2} \log \left(\frac{1}{1-x^2} \right) \\ &= \log \sqrt{\frac{1+x}{1-x}} \end{aligned}$$

Now consider $a_e(x)^\ell$. The coefficient of x^n in this series is $\frac{c_n}{n!}$ where c_n is the number of ways of choosing an ordered sequence of ℓ cycles of lengths a_1, a_2, \dots, a_ℓ where $a_1 + a_2 + \dots + a_\ell = n$. And then a partition of $[n]$ into A_1, A_2, \dots, A_ℓ where $|A_i| = a_i$ for $i = 1, 2, \dots, \ell$. And then labelling the i th cycle with A_i for $i = 1, 2, \dots, \ell$.

We looked carefully at the case $\ell = 2$ and this needs a simple inductive step.

It follows that the coefficient of x^n in $\frac{a_e(x)^\ell}{\ell!}$ is $\frac{c_n}{n!}$ where c_n is the number of ways of choosing a set (unordered sequence) of ℓ cycles of lengths $a_1, a_2, \dots, a_\ell \dots$

What we therefore want is the coefficient of x^n in

$$1 + \frac{a_e(x)^2}{2!} + \frac{a_e(x)^4}{4!} + \dots$$

Now

$$\begin{aligned}\sum_{k=0}^{\infty} \frac{a_e(x)^{2k}}{k!} &= \frac{e^{a_e(x)} + e^{-a_e(x)}}{2} = \frac{1}{2} \left(\sqrt{\frac{1+x}{1-x}} + \sqrt{\frac{1-x}{1+x}} \right) \\ &= \frac{1}{\sqrt{1-x^2}}\end{aligned}$$

Thus

$$s_n = n! [x^n] \frac{1}{\sqrt{1-x^2}} = \binom{n}{n/2} \frac{n!}{2^n}$$

Exponential Families

- P is a set referred to a set of **pictures**.
- A **card** C is a pair C, p , where $p \in P$ and S is a set of **labels**. The **weight** of C is $n = |S|$.
If $S = [n]$ then C is a **standard** card.
- A **hand** H is a set of cards whose label sets form a partition of $[n]$ for some $n \geq 1$. The weight of H is n .
- $C' = (S', p)$ is a **re-labelling** of the card $C = (S, p)$ if $|S'| = |S|$.
- A **deck** \mathcal{D} is a finite set of standard cards of common weight n , all of whose pictures are distinct.
- An **exponential family** \mathcal{F} is a collection $\mathcal{D}_n, n \geq 1$, where the weight of \mathcal{D}_n is n .

Given \mathcal{F} let $h(n, k)$ denote the number of hands of weight n consisting of k cards, such that each card is a re-labelling of some card in some deck of \mathcal{F} .
(The same card can be used for re-labelling more than once.)
Next let the **hand enumerator** $\mathcal{H}(x, y)$ be defined by

$$\mathcal{H}(x, y) = \sum_{\substack{n \geq 1 \\ k \geq 0}} h(n, k) \frac{x^n}{n!} y^k, \quad (h(n, 0) = \mathbf{1}_{n=0}).$$

Let $d_n = |\mathcal{D}_n|$ and $\mathcal{D}(x) = \sum_{n=1}^{\infty} \frac{d_n}{n!} x^n$.

Theorem

$$\mathcal{H}(x, y) = e^{y\mathcal{D}(x)}. \quad (5)$$

Example 1: Let $P = \{\text{directed cycles of all lengths}\}$.

A card is a directed cycle with labelled vertices.

A hand is a set of directed cycles of total length n whose vertex labels partition $[n]$ i.e. it corresponds to a permutation of $[n]$.

$d_n = (n - 1)!$ and so

$$\mathcal{D}(x) = \sum_{n=1}^{\infty} \frac{x^n}{n} = \log \left(\frac{1}{1-x} \right)$$

and

$$\mathcal{H}(x, y) = \exp \left\{ y \log \left(\frac{1}{1-x} \right) \right\} = \frac{1}{(1-x)^y}.$$

Let $\left[\begin{smallmatrix} n \\ k \end{smallmatrix} \right]$ denote the number of permutations of $[n]$ with exactly k cycles. Then

$$\begin{aligned} \sum_{k=1}^n \left[\begin{smallmatrix} n \\ k \end{smallmatrix} \right] y^k &= \left[\frac{x^n}{n!} \right] \frac{1}{(1-x)^y} \\ &= n! \binom{y+n-1}{n} \\ &= y(y+1)\cdots(y+n-1). \end{aligned}$$

The values $\left[\begin{smallmatrix} n \\ k \end{smallmatrix} \right]$ are referred to as the Stirling numbers of the first kind.

Example 2: Let $P = \{[n], n \geq 1\}$.

A card is a non-empty set of positive integers.

A hand of k cards is a partition of $[n]$ into k non-empty subsets.

$d_n = 1$ for $n \geq 1$ and so

$$\mathcal{D}(x) = \sum_{n=1}^{\infty} \frac{x^n}{n!} = e^x - 1$$

and

$$\mathcal{H}(x, y) = e^{y(e^x - 1)}.$$

So, if $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$ is the number of partitions of $[n]$ into k parts then

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \left[\frac{x^n}{n!} \right] \frac{(e^x - 1)^k}{k!}.$$

The values $\left\{ \begin{matrix} n \\ k \end{matrix} \right\}$ are referred to as the Stirling numbers of the second kind.

Proof of (5): Let $\mathcal{F}', \mathcal{F}''$ be two exponential families whose picture sets are disjoint. We **merge** them to form $\mathcal{F} = \mathcal{F}' \oplus \mathcal{F}''$ by taking all d'_n cards from the deck \mathcal{D}'_n and adding them to the deck \mathcal{D}''_n to make a deck of $d'_n + d''_n$ cards.

We claim that

$$\mathcal{H}(x, y) = \mathcal{H}'(x, y)\mathcal{H}''(x, y). \quad (6)$$

Indeed, a hand of \mathcal{F} consists of k' cards of total weight n' together with $k'' = k - k'$ cards of total weight $n'' = n - n'$. The cards of \mathcal{F}' will be labelled from an n' -subset S of $[n]$. Thus,

$$h(n, k) = \sum_{n', k'} \binom{n}{n'} h'(n', k') h''(n - n', k - k').$$

But,

$$\begin{aligned}\mathcal{H}'(x, y)\mathcal{H}''(x, y) &= \left(\sum_{n', k'} h(n', k') \frac{x^{n'}}{n'!} y^{k'} \right) \left(\sum_{n'', k''} h(n'', k'') \frac{x^{n''}}{n''!} y^{k''} \right) \\ &= \sum_{n, k} \left(\frac{n!}{n'(n-n')!} h(n', k') h(n'', k'') \right) \frac{x^n}{n!} y^k.\end{aligned}$$

This implies (6).

Now fix positive weights r, d and consider an exponential family $\mathcal{F}_{r,d}$ that has d cards in deck \mathcal{D}_r and no other non-empty decks. We claim that the hand enumerator of $\mathcal{F}_{r,d}$ is

$$\mathcal{H}_{r,d}(x, y) = \exp \left\{ \frac{ydx^r}{r!} \right\}. \quad (7)$$

We prove this by induction on d .

Base Case $d = 1$: A hand consists of $k \geq 0$ copies of the unique standard card that exists. If $n = kr$ then there are

$$\binom{n!}{r!r! \cdots r!} = \frac{n!}{(r!)^k}$$

choices for the labels of the cards. Then

$$h(kr, k) = \frac{1}{k!} \frac{n!}{(r!)^k}$$

where we have divided by $k!$ because the cards in a hand are unordered. If r does not divide n then $h(n, k) = 0$.

Thus,

$$\begin{aligned}\mathcal{H}_{r,1}(x, y) &= \sum_{k=0}^{\infty} \frac{1}{k!} \frac{n!}{(r!)^k} \frac{x^n}{n!} y^k \\ &= \exp \left\{ \frac{yx^r}{r!} \right\}\end{aligned}$$

Inductive Step: $\mathcal{F}_{r,d} = \mathcal{F}_{r,1} \oplus \mathcal{F}_{r,d-1}$. So,

$$\begin{aligned}\mathcal{H}_{r,d}(x, y) &= \mathcal{H}_{r,1}(x, y)\mathcal{H}_{r,d-1}(x, y) \\ &= \exp \left\{ \frac{yx^r}{r!} \right\} \exp \left\{ \frac{y(d-1)x^r}{r!} \right\} \\ &= \exp \left\{ \frac{ydx^r}{r!} \right\},\end{aligned}$$

completing the induction.

Now consider a general deck \mathcal{D} as the union of disjoint decks $\mathcal{D}_r, r \geq 1$. then,

$$\mathcal{H}(x, y) = \prod_{r \geq 1} \mathcal{H}_r(x, y) = \prod_{r \geq 1} \exp \left\{ \frac{y dx^r}{r!} \right\} = e^{y \mathcal{D}(x)}.$$